

FEDERAL MARITIME COMMISSION
INFORMATION FORM FOR
AGREEMENTS BETWEEN OR AMONG OCEAN COMMON CARRIERS

Section I

Part 1

Agreement Name: _____

Part 2

Narrative statement on agreement purpose, and commercial or other circumstances requiring the agreement:

Part 3

List all effective agreements covering all or part of the geographic scope of this agreement, whose parties include one or more of the parties to this agreement.

Part 4

This agreement includes:

- | | | |
|--|------------------------------|-----------------------------|
| (A) Authority to discuss or agree upon rates or charges? | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (B) Joint service? | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (C) Pooling of cargo traffic or revenues? | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (D) Authority to discuss or agree on service contracts and their terms? | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (E) Authority to discuss or agree on capacity rationalization? | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (F) Conditions or restrictions on the parties' agreement participation,
and/or use or offering of competing services in the geographic scope? | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

(G) Authority to charter vessel space?

Yes ☐ No ☐

(H) Authority to rationalize sailings or services?

Yes ☐ No ☐

Section II

Part 1

(A) Vessel Calls

Agreement-Wide Trade: U.S. Inbound (or Outbound) Name

Time Period: [12-Months]

[Port Names] Etc....	<i>Port 1</i>	<i>Port 2</i>	<i>Port 3</i>	<i>Port 4</i>
-------------------------	---------------	---------------	---------------	---------------

Carrier A [Name]

Carrier B

Carrier C

Etc....

(B) Narrative statement on significant changes in vessel calls: _____

Section III

Part 1 Vessel Capacity And Utilization

Agreement-Wide Trade: U.S. Inbound (or Outbound) Name

Time Period: [Calendar Quarter]

	(A) <i>Vessel Capacity</i> [TEUs or Other Units]	(B) <i>Utilization</i> [Percent]
Carrier A [Name]		
Liner Service 1 [Name]	XX,XXX	XX%
Liner Service 2	XX,XXX	XX%
Liner Service 3	XX,XXX	XX%
Etc....		
Carrier B		
Liner Service 1	XX,XXX	XX%

Liner Service 2	XX,XXX	XX%
Liner Service 3	XX,XXX	XX%
Etc....		
Etc....		

(C) Narrative statement on significant changes in vessel capacity: _____

Part 2 Vessel Calls

(A) Agreement-Wide Trade: U.S. Inbound (or Outbound) Name

Time Period: [12-Months]

[Port Names] *Port 1* *Port 2* *Port 3* *Port 4* Etc....

Carrier A [Name]

Carrier B

Carrier C

Etc....

(B) Narrative statement on anticipated or planned changes: _____

Section IV

Part 1 Market Share

Agreement-Wide Trade (or Sub-Trade): U.S.
Inbound (or Outbound) Name

Time Period: [Calendar Quarter]

	<i>TEUs</i> [or other units]	<i>Percent</i>
Agreement Market Share		
Line A [Name]	X,XXX	XX%
Line B	X,XXX	XX%
Line C	X,XXX	XX%

Etc....		
Total Agreement	X,XXX	XX%
Non-Agreement Market Share		
Line X	X,XXX	XX%
Line Y	X,XXX	XX%
Line Z	X,XXX	XX%
Etc....		
Total Non-Agreement	X,XXX	XX%
Total Trade [or Sub-Trade]	X,XXX	100%

Part 2 Total Liner Cargo and Revenues

Agreement-Wide Trade: U.S. Inbound (or Outbound) Name
Time Period: [12-Months]

	<i>Total Revenues</i>	<i>TEUs [or other units]</i>	<i>Average Revenue</i>
[Name]			
Carrier A	\$	X,XXX	\$
Carrier B	\$	X,XXX	\$
Carrier C	\$	X,XXX	\$
Etc....			

Part 3 Top Liner Commodities

Agreement-Wide Trade: U.S. Inbound (or Outbound) Name
Time Period: [Same 12-Months in part 2 of this section]

[Name]	<i>Carrier A</i>	<i>Carrier B</i>	Etc...
Commodity 1 [Name and 4-Digit Code]			
TEUs [or other units]	X,XXX	X,XXX	
Revenues	\$	\$	
Commodity 2			
TEUs	X,XXX	X,XXX	
Revenues	\$	\$	
Etc....			

Part 4 Vessel Capacity and Utilization

Agreement-Wide Trade: U.S. Inbound (or Outbound) Name
Time Period: [Same Calendar Quarter in part 1 of this section]

	(A) <i>Vessel Capacity</i> [TEUs or Other Units]	(B) <i>Utilization</i> [Percent]
Carrier A [Name]		
Liner Service 1 [Name]	XX,XXX	XX%
Liner Service 2	XX,XXX	XX%
Liner Service 3	XX,XXX	XX%
Etc....		
Carrier B		
Liner Service 1	XX,XXX	XX%
Liner Service 2	XX,XXX	XX%
Liner Service 3	XX,XXX	XX%
Etc....		
Etc....		

(C) Narrative Statement on significant changes in vessel capacity: _____

Part 5

(A) Vessel Calls

Agreement-Wide Trade: U.S. Inbound (or Outbound) Name
Time Period: [Same 12-Months in parts 2 and 3 of this section]

[Port Names] *Port 1* *Port 2* *Port 3* *Port 4* Etc....

Carrier A [Name]

Carrier B

Carrier C

Etc....

(B) Narrative statement on significant changes in vessel calls: _____

Section V

Contact Persons and Certification

(A) Person(s) to Contact Regarding Information Form.

(1) Name _____

(2) Title _____

(3) Firm Name and Business _____

(4) Business Telephone Number _____

(5) Fax Number _____

(6) E-Mail Address _____

(B) Individual Located in the United States Designated for the Limited Purpose of Receiving Notice of an Issuance of a Request for Additional Information or Documents (see 46 CFR 535.606).

(1) Name _____

(2) Title _____

(3) Firm Name and Business _____

(4) Business Telephone Number _____

(5) Fax Number _____

(6) E-Mail Address _____

(C) Certification _____

This Information Form, together with any and all appendices and attachments thereto, was prepared and assembled in accordance with instructions issued by the Federal Maritime Commission. The information is, to the best of my knowledge, true, correct, and complete.

Name (please print or type) _____

Title _____

Relationship with parties to agreement _____

Signature _____

Date _____